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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|  |    |                        |                 |
|--|----|------------------------|-----------------|
| Total Number of Pages in This Submission | 11 | Application Number     | 10/726,870      |
|  |    | Filing Date            | 2 December 2003 |
|  |    | First Named Inventor   | Masashi Goto    |
|  |    | Art Unit               | 2818            |
|  |    | Examiner Name          | Dao H. Nguyen   |
|  |    | Attorney Docket Number | 2204-011-03     |

## ENCLOSURES (check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) ____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Return receipt postcard |
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Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

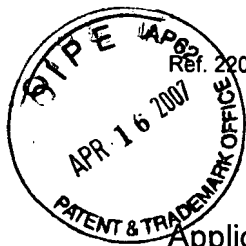
|              |                             |          |        |
|--------------|-----------------------------|----------|--------|
| Firm         | Graybeal Jackson Haley, LLP |          |        |
| Signature    |                             |          |        |
| Printed Name | Stephen M. Evans            |          |        |
| Date         | 13 April 2007               | Reg. No. | 37,128 |

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| Signature             |            |      |               |
| Typed or printed name | Cindy Hawk | Date | 13 April 2007 |

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Ref. 2204-011-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Masashi GOTO *et al.*

Title: DIELECTRIC FILM, ITS FORMATION METHOD,  
SEMICONDUCTOR DEVICE USING THE DIELECTRIC  
FILM AND ITS PRODUCTION METHOD

Application No.: 10/726,870

Filing Date: 2 December, 2003

Examiner/Unit: Dao H. Nguyen / 2818

Attorney Docket No.: 2204-011-03

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on this 13<sup>th</sup> day of April 2007.

Signature

SUBSTITUTE RESPONSE TO OFFICE ACTION MAILED ON 25 OCTOBER 2007

TO COMMISSIONER FOR PATENTS

This paper is a Substitute Response to applicants' Response mailed on 26 March 2007 ("Original Response") concerning the above-referenced Office Action. This Substitute Response only differs from the Original Response in that additional remarks from the inventors are present. Because applicant's Original Response has likely not been entered or considered, it is being reproduced in its entirety. Consideration of the present remarks, which are underlined for ease of distinction, is requested.

**Amendments to the Specification** begin on page 2 of this paper,

**Amendments to the Claims** are reflected in the listing of claims that begin on page 3 of this paper, and

**Remarks** begin on page 7 of this paper.